

ATTORNEY'S DOCKET NO: 26750U

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: 29 April 2005 (29.04.2005)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPN. NO. (if known) 10/533121 Not Yet Assigned
INTERNATIONAL APPLICATION NO.: PCT/AU2003/001437	INTERNATIONAL FILING DATE: 31 October 2003 (31.10.2003)	PRIORITY DATE CLAIMED: 1 November 2002 (01.11.2002) AU
TITLE OF INVENTION: COOLING HELMET		
APPLICANT(S) FOR DO/EO/US: BEDFORD, Nicholas		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items(5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31)</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li><input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</li> <li><input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</li> <li><input checked="" type="checkbox"/> Other items or information: TRANSMITTAL FORM UNDER 371; FEE CALCULATION; INTERNATIONAL PUBLICATION WO 2004/039191 A1 WITH INTERNATIONAL PUBLICATION DATE 13 MAY 2004 (12.02.2004) AND INTERNATIONAL SEARCH REPORT WHICH CONSISTS OF 23 PAGES INCLUDING 2 PAGES COVER SHEET, 10 PAGES TEXTUAL SPECIFICATION, 3 PAGES OF 18 CLAIMS, 2 PAGES OF AMENDED CLAIMS RECEIVED BY THE INTERNATIONAL BUREAU ON 26 MARCH 2004, 6 PAGES OF DRAWINGS; INTERNATIONAL PRELIMINARY EXAMINATION REPORT WITH 13 ANNEXES TO BE EXAMINED; PRELIMINARY AMENDMENT TO IPER WITH ATTACHMENT A TO BE EXAMINED; EXECUTED INVENTOR'S DECLARATION; WRITTEN OPINION; LETTER DATED 23 DECEMBER 2004 IN RESPONSE TO WRITTEN OPINION.</li> </ol>		

U.S. APPLICATION NO. (if known) not yet assigned <div style="font-size: 1.5em; font-weight: bold;">10/533121</div>	INTERNATIONAL APPLICATION NO. PCT/AU2003/001437	DATE: <u>29</u> April 2005 ( <u>29</u> 04.2005)
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	<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>
21. Basic National Fee ..... \$ 300.00	\$ 300.00	
22. Examination Fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy Provisions of PCT Article 33(1)-(4) ..... \$ 100.00 All other situation ..... \$ 200.00	\$ 200.00	
23. Search Fee (37 CFR 1.445(a)(2) has been paid on the International Application to the USPTO as an International Searching Authority ... \$ 100.00 International Search Report prepared and provided to the Office ..... \$ 400.00 All other situations ..... \$ 500.00	\$ 400.00	
___ Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.  <div style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>	<b>\$ 900.00</b>	

Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 0.00	
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CLAIMS	NO. FILED	NO. EXTRA	RATE		
TOTAL	<u>17</u> -20=	0	X \$ 50.00	\$ 0.00	
INDEPENDENT	<u>3</u> -3=	0	X \$ 200.00	\$ 0.00	
Multiple dependent claims(s) (if applicable)			+ \$300.00	\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 950.00</b>	
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$ -450.00	
<b>SUBTOTAL =</b>				<b>\$ 450.00</b>	
Petition Under 37 CFR 1.137(b) with petition fee as set forth in § 1.17(m) small entity				\$ 0.00	
Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 450.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>				\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 450.00</b>	
				Amount to be:	
				refunded	\$
				charged	\$

10/533121

JC12 Rec'd PCT/PTC 29 APR 2005

ATTORNEY'S DOCKET NO: 26570U

U.S. APPLICATION NO. (if known) not yet assigned	INTERNATIONAL APPLICATION NO.  PCT/AU2003/001437	DATE: <u>29</u> April 2005 ( <u>29</u> .04.2005)
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a. ☒ One check in the amount of \$450.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

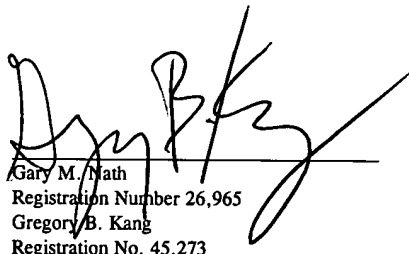
d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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10/533121

MAIL STOP PCT

Attorney Docket No. 26750U

JCT2 Rec'd PCT/PTC 29 APR 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BEDFORD, Nicholas

International Application No. PCT/AU2003/001437

Serial No. NOT YET ASSIGNED

International Filing Date: 31 October 2003 (31.10.2003)

Filed: April 29, 2005

For: COOLING HELMET

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

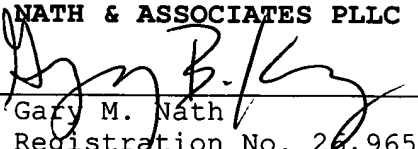
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 2004/039191 A1 with International Publication Date: 13 May 2004 (13.05.2004) and International Search Report, which consists of 23 pages including:
  - 2 page cover sheet,
  - 10 pages Textual Specification,
  - 3 pages of 18 claims,
  - 2 pages of amended claims received by the International Bureau on 26 March 2004,
  - 6 pages of drawings
- (4) International Preliminary Examination Report with 13 pages of Annexes to be examined
- (5) Preliminary Amendment to IPER with Attachment A to be examined;
- (6) Executed Declaration/Power of Attorney;
- (7) Written Opinion
- (8) Letter dated 23 December 2004 in response to Written Opinion;
- (9) Check No. 22975 \$ 450.00 for Basic National Stage Fee, Search Fee, and Examination Fee;
- (10) Postcard for early notification of serial number.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By:

  
Gary M. Nath  
Registration No. 26,965  
Gregory B. Kang  
Registration No. 45,273  
Customer No. 20529

Date: April 29, 2005  
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